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PTO/SB/05 (1/98)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

A52023.1

First Inventor or Application

Madiyalakan, et al.

Title

Therapeutic Compositions that Produce an Immune Response

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☐ *Fee Transmittal Form (e.g., PTO/SB/17)

6. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification

[Total 84]

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) [Total 19]

4. Oath or Declaration

[Total]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))

[Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)
inventor(s) named in the prior application.

5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet &

9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, (PTO/SB/09-12)

15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. ☐ Other: _____

* A new statement is required to be entitled to pay small entity fees, except

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application 09/094,598

Prior application information: Examiner 6-15-98 Group / Art Unit 1654

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

William J. Bundren

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Name (Print/Type)

William J. Bundren

Registration No. (Attorney/Agent)

31,712

Signature

William J. Bundren

Date

2 September 1998

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PATENTS ONLY

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03-02-99

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MADIYALAKAN, Ragupathy
SCHULTES, Birgit
BAUM, Richard P.
NOUJAIM, Antoine A.

LEVEUGLE, Beatrice
KREUTZ, Fernando

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 10/20/98 to 2/18/99

2. Name and address of receiving party(ies):

Name: AltaRex Corp.

Address: 303 Wyman Street, Suite 125

APR 27 1999

MATRIX CUSTOMER
SERVICE CENTER

City: Waltham

State/Prov.: MA

Country: USA

ZIP: 02145

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/152,698

9/2/98

03/09/1999 MTEKLEMI 00000027 024650 09152698
Sale Ref: 00000075 DAW: 024650 09152698
01 FC:581 40.00 CH

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William J. Bundren

Registration No. 31,712

Address: 576 Farmington Road, West

City: Accokeek

State/Prov.: MD

Country: USA

ZIP: 20607-9796

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Bundren

Name of Person Signing

Signature

March 2, 1999

Date

7

Total number of pages including cover sheet, attachments, and